



How to Prepare for an Audit or Regulatory Examination

RESPOND
to the regulator in a timely manner



DEMONSTRATE
all factors that went into the valuation



PREPARE

a written response to the regulator



RESEARCH

"best practices" in the industry



DOCUMENT

the supportive pricing data



Click to learn more about issues, trends, and best practices for investment fund valuation.



TRACK

relevant legal cases and enforcement actions, and incorporate key findings



SHARE

with fund managers what regulators liked and did not like



DELIVER

all information requested



REVIEW

the valuation committee charter and personnel to ensure no perceived conflicts